

CONTACT

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**QUESTIONNAIRE FOR FINANCE COMPANY
INVESTORS**

Name:

Address:

Home Phone:

Mobile Phone:

Fax:

Email Address:

Personal

What is your date of birth?	
What is your occupation?	

Investments

	Finance Company	Amount	Date of investment	Renewal Date (if any)
1				
2				
3				
4				
5				
6				
7				
8				

Advisor(s)

Did anyone advise you in relation to your investments(s)? (eg financial advisor, accountant, representative of finance company)	
Who was the advisor?	
Address and phone number of advisor	
When did he/she advise you?	
Did the advisor provide any information about themselves? If so, what?	
What information about yourself did you provide to the advisor?	
What instructions (if any) did you give the advisor?	
Did the advisor create a risk profile for you? What was that risk profile?	

Advice

<p>What advice did the advisor give you? Please send us any written advice given and provide details of any oral advice.</p>	
<p>Did you follow this advice?</p>	
<p>Did the advisor advise you of any risks with the investment(s)? If so, what?</p>	
<p>Did the advisor provide you with a copy of an investment statement, prospectus, advertisement or any other document in relation to the investment(s)? If so, please send to us.</p>	

Advice (cont.)

If you no longer have copies of investment material provided to you, when was such material provided to you and to be the best of your recollection what did it say?

Did you rely on any of the material provided to you? If so, what did you rely on?

Miscellaneous

<p>If you approached a finance company direct, how did you find out about the company and what communications did you have with the company? Please send us any written communications.</p>	
<p>Have you been advised by a receiver or other party as to how much of your investment may be recovered? If so, how much and by whom? (please send us relevant correspondence)</p>	
<p>Are you aware of any other documents that are relevant to your investment but are not held by you? If the answer is yes, what are these documents and who holds them?</p>	